Page 1 of 1

FORM PTO-1449B

INFORMATION DISCLOSURE CITATION

| Attorney Docket: | Serial No.: 1 (a) R 15 48 70 0 | | | | | | |
|---------------------------------------|--------------------------------|--|--|--|--|--|--|
| 3443-4006 | Serial No. 10.1585640 | | | | | | |
| Applicant: | | | | | | | |
| Masao SHIOZAKI and Takaichi SHIMOZATO | | | | | | | |
| Filing Date: | Group Art Unit: | | | | | | |
| Herewith | TRA | | | | | | |

| | | Herewith TBA | | | | | |
|---|-----------|--|--|--|--|--|--|
| | | NON PATENT LITERATURE DOCUMENTS | | | | | |
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | |
| <u> </u> | | Homma J. Y., et al. "Structual Requirements Of Lipid A Responsible For The Functions: A | | | | | |
| | 1. | Study With Chemically Synthesized Lipid A And Its Analogues," <u>The Journal of Biochemistry</u> , Vol. 98, No. 2, pp. 395-406, (August 1985). | | | | | |
| | 2. | | | | | | |
| | 3. | | | | | | |
| | 4. | | | | | | |
| | 5. | | | | | | |
| | 6. | | | | | | |
| | 7. | | | | | | |
| | 8. | | | | | | |
| | 9. | · | | | | | |
| | 10. | | | | | | |
| | 11. | | | | | | |
| | 12. | | | | | | |
| | 13. | | | | | | |
| | 14. | | | | | | |
| | 15. | | | | | | |
| | 16. | | | | | | |
| | 17. | | | | | | |
| ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | 18. | | | | | | |
| | 19. | | | | | | |
| | 20. | | | | | | |
| | 21. | | | | | | |
| | | | | | | | |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

22.

| FORM PTO-1449A INFORMATION DISCLOSURE CITATION INFORMATION DISCLOSURE CITATION | | | Attorney Docket: 3443-4006 Applicant: Masao SHIOZAKI and Takaichi | | | | | | |
|--|------|---|---|--------------------------|--|--------------|------------------------|---|--------------|
| | | | | Filing Date: Herewith | | | Group Art Unit: TBA | | |
| | | U.S. PA | TENT | / PUBLI | CATION DOCU | IMENT | S | | |
| Examiner Initial | | Patent/Publication Numb | er P | Publication/Issue Date | | | Na | Filing Date | |
| | | | | | | | | | |
| | _ | | | | | | | | |
| | | • | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | l | OREIG | N PATE | ENT DOCUMEN | ITS | | | |
| Examiner | | 1.00 | Publi | cation | STATE OF THE PROPERTY AND ASSOCIATED ASSOCIA | PTW65(3) | Сору | | |
| Initial | 1. | Patent Number 2004-217630 | Aug. 5 | ate 2004 | Japan WO | | Filed Yes | Translation ☐ Yes ☐ No ☒ Abstract ☐ N/A ☐ Yes ☐ No ☒ Abstract ☐ N/A | |
| | 2. | WO 03/106473 A1 | - | 4, 2003 | | | ⊠ Yes | | |
| | | 10-324694 | Dec. 2 | | | | ⊠ Yes | ☐ Yes ☐ No ☒ Al | |
| | 3. | | | | Japan | | ✓ Yes | Yes □ No ☒ Abstract □N/A | |
| | 4. | 2001-348396 | Dec. 1 | 8, 2001 | Japan | | | Yes No Abstract N/A | |
| | 5. | | | | | | ☐ Yes | | |
| | 6. | | | | | | ☐ Yes | Yes No A | ostract UN/A |
| | | | | | | | | | |
| Examiner | | | Date Considered | | | | | | |
| EXAMINER: | Draw | if reference considered, whether or a line through citation if not in conform le copy of this form with next common | mance and | not consid | ered. | §609. | | | |